# Request for Application

## SOLICITATION INFORMATION

<table>
<thead>
<tr>
<th>RFA Number:</th>
<th>DH-21-0016</th>
<th>RFA Issued:</th>
<th>06/04/2021</th>
</tr>
</thead>
<tbody>
<tr>
<td>Sub-Grant Description:</td>
<td>Ending the HIV Epidemic Licensed Certified Social Workers (LCSW) and Community Health Workers</td>
<td></td>
<td></td>
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<tr>
<td>Agency:</td>
<td>AR Department of Health– Center for Health Protection, Infectious Disease Branch</td>
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## APPLICATION DEADLINE

<table>
<thead>
<tr>
<th>Application Deadline Date/Time:</th>
<th>07/06/2021 2PM CST</th>
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</table>

Application **shall not** be accepted after the designated date and time. It is the responsibility of bidders to submit applications at the designated location on or before the deadline. Applications received after the deadline **shall** be considered late and **shall** be returned to the bidder without further review.

## DELIVERY OF RESPONSE DOCUMENTS

Sealed applications may be mailed, or hand delivered to the following locations:

**Mailing Address:**
Arkansas Department of Health  
Attn: Hanan Malak  
4815 W Markham Street, Slot #58  
Little Rock, AR 72205

Delivery providers, USPS, UPS, and FedEx deliver mail to ADH's street address on a schedule determined by each individual provider. These providers will deliver to ADH based solely on the Physical Address.

**Physical Address:**
Arkansas Department of Health  
Contract Support Section  
4815 W Markham Street, RM L156  
Little Rock, AR 72205

**Response's Outer Packaging:**
Outer packaging **must** be sealed and should be properly marked with the following information. If outer packaging of response submission is not properly marked, the package may be opened for identification purposes.

- RFA number
- Application Date/Time
- Applicant's name and return address

## ARKANSAS DEPARTMENT OF HEALTH CONTACT INFORMATION

<table>
<thead>
<tr>
<th>Issuing Officer:</th>
<th>Steve McDonald</th>
<th>Phone Number:</th>
<th>501-280-4594</th>
</tr>
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<tbody>
<tr>
<td>Email Address:</td>
<td><a href="mailto:steve.mcdonald@arkansas.gov">steve.mcdonald@arkansas.gov</a></td>
<td>Fax Number:</td>
<td>501-280-4474</td>
</tr>
<tr>
<td>ADH Website:</td>
<td><a href="https://www.healthy.arkansas.gov/programs-services/topics/grant-and-bid-opportunities">https://www.healthy.arkansas.gov/programs-services/topics/grant-and-bid-opportunities</a></td>
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</table>
SECTION 1 – PROGRAM OVERVIEW

1.1 PURPOSE

The purpose of this Request for Applications (RFA) is to solicit applications to provide Ending the HIV Epidemic (EHE) social worker services and community health worker assistance, as a part of funding by the Ending the HIV Epidemic Program in the State of Arkansas. Efforts of social workers and community health workers are in non-clinical locations throughout the state where HIV positive Arkansans can receive HIV interventions, psychosocial support, and course-plotting to expedited HIV care and treatment assistance.

This guidance is provided to assist applicants in preparing their 2021 grant year application for funds under the Ending the HIV Epidemic Program serving the citizens of Arkansas. The funding period is July 1, 2021 through June 30, 2022. This guidance provides application instruction, background on reporting requirements and other documentation.

1.2 BACKGROUND

EHE is the operational plan developed by agencies across the U.S. Department of Health and Human Services (HHS) to pursue the goal of ending the HIV epidemic in the United States by 2030. The plan leverages critical scientific advances in HIV prevention, diagnosis, treatment, and outbreak response by coordinating the highly successful programs, resources, and infrastructure of many HHS agencies and offices. In its first phase, the initiative is focusing on areas where HIV transmission occurs most frequently, providing 57 geographic focus areas with a rapid infusion of additional resources, expertise, and technology to develop and implement locally tailored EHE activities.

The federal EHE initiative focuses efforts in 48 counties, Washington, DC, San Juan (PR), where >50% of HIV diagnoses occurred in 2016 and 2017, and an additional seven states with a substantial number of HIV diagnoses in rural areas. Arkansas was one of the seven states selected and the Arkansas Department of Health received additional funding to the state for providing care and support for individuals living with HIV/AIDS. This guidance seeks to communicate the goal of improving the number of individuals living with HIV/AIDS in care.

1.3 GRANT PERIOD

A. The anticipated period shall be from September 1, 2021 through August 31, 2022.

B. The initial term of a resulting sub-grant will be for one (1) year. Upon mutual agreement between the applicant and the agency, the sub-grant may be renewed for up to six (6) additional one-year terms or portions thereof contingent upon appropriation of funding and approvals.

C. Total contract term, including any amendments and/or possible extensions, not to exceed seven (7) consecutive years.

1.4 AVAILABLE FUNDING

A. Maximum amount of funding available for awarding is up to $1,312,350 for the first contracted year. For subsequent renewal years, funding will be awarded up to $934,385. All funding is contingent upon the ADH receiving grant awarding from its federal resources.

B. See the attached chart that outlines the funding allocations for each region of the state the EHE program will expand resources within for social worker and community health worker services. Total funding award to applicant will be based upon the regions of the state applicant is selected to provide services within.

C. Funding is contingent upon review and acceptance of application.

D. Funds must be used in accordance with the budget provided.

E. ADH reserves the right to determine allowable and non-allowable costs.

F. Prior to award, ADH may increase the amount of funding in efforts to maximize program support. Recipient(s) must submit a revised budget worksheet reflecting changes.

1.5 ELIGIBILITY & FUNDING REQUIREMENTS

This RFA has the potential for multiple agencies to be funded. Applicant must meet the following to be eligible to obtain funding: (Insert all eligibility requirements)
A. Funded organization(s) must have the current supervisory structure within their entity for oversight and supervision of the employed Licensed Certified Social Workers (LCSW) and Community Health Workers (CHW).

B. Do not currently receive Ryan White Part B sub-recipient funding.

C. Positions or roles outlined in the applicant’s proposal and budgeted for through funding must have appropriate licensure and/or certification as required by Arkansas law. Documentation will be required for submission to the program upon awarding or hiring of personnel. In addition, personnel funded through this award will be required to complete the HIV Prevention Voluntary, Counseling, and Training (VCT) certification course.

D. Funded organization(s) must attend and participate in meetings and trainings (fiscal and programmatic) required by the ADH EHE program.

E. Funded organization(s) must ensure mechanisms within their system for reporting data to the ADH EHE program and ensure timely submission of reporting as outlined within EHE Standards Manual provided.

F. Funded organization(s) personnel associated to the activities under the awarded funding must complete the ADH Infectious Disease Branch, CDC required Security and Confidentiality Training module and receive certification.

1.6 BUDGET & JUSTIFICATION
A. Applicant **must** complete the budget worksheet provided as a separate excel file and will not be included in the scoring of applications.

B. Recipient(s) **shall** be reimbursed for allowable expenses only. Allowable expenses are those approved by ADH within the budget’s itemized listing.

1.7 ISSUING OFFICER
The ADH contact name listed on page one is the sole point of contact throughout this solicitation.

1.8 RFA OPENING LOCATION
Applications submitted by the due time and date **shall** be opened at the following location:

Arkansas Department of Health
4815 W Markham Street, Room L156
Little Rock, AR 72205

1.9 DEFINITION OF REQUIREMENT
A. The words “**must**” and “**shall**” signify a requirement of this RFA and that vendor’s agreement to and compliance with that item is mandatory.

B. Applicant may request exceptions to NON-mandatory items. Contractor **must** clearly explain the requested exception and should reference the specific solicitation item number to which the exception applies.

1.10 DEFINITION OF TERMS
The issuing officer has made every effort to use industry-accepted terminology in the competitive bid and will attempt to further clarify any point or item in question. The following acronyms will be used throughout the document.

ADH: Arkansas Department of Health
OSP: Office of State Procurement
RFA: Request for Applications
EHE: Ending the HIV Epidemic

1.11 APPLICATION INSTRUCTIONS
A. Original Application Packet

1. Application Submission Requirements

   a. Applicants **shall** provide one (1) original hard copy of the Application Packet clearly marked as “Original” and **must** include:

   - Original signed Application Signature Page
   - Agreement and Compliance Pages (if applicable)
   - Proposed Subcontractors Form
b. The application must be in the English language.

2. The following items should be submitted with the original Application Packet:

- Signed Addenda, if applicable
- Copy of Illegal Immigrant Certification [https://www.ark.org/dfa/immigrant/index.php/user/welcome](https://www.ark.org/dfa/immigrant/index.php/user/welcome)
- Business Associate Agreement (AS-4001)

3. **DO NOT** include any other documents or ancillary information, such as a cover letter or promotional/marketing information.

**B. Budget Worksheet**

1. The Budget Worksheet, including the hard copy and electronic copy, should be separately sealed from the Application Packet and should be clearly marked as “Budget”.

2. An applicant should not include any budget information in the hard copies or electronic copies of the Application Packet.

3. Budget must be proposed in U.S. dollars and cents.

**C. Additional Copies and Redacted Copy of the Application Packet**

In addition to the original Application Packet, the following items should be submitted:

1. Additional Copies of the Application Packet
   
a. Three (3) complete hard copies (marked “COPY”) of the Application Packet.

b. One (1) electronic copy of the Application Packet, preferably on a flash drive. CDs will also be acceptable.

c. All additional hard copies and electronic copies must be identical to the original hard copy. In case of a discrepancy, the original hard copy shall govern.

d. If ADH requests additional copies of the response, the copies must be delivered within the timeframe specified in the request.

2. One (1) redacted copy (marked “REDACTED”) of the original Application Packet, preferably on a flash drive. A CD will also be acceptable. (See Proprietary Information.)

1.12 **ORGANIZATION OF RESPONSE DOCUMENTS**

A. It is strongly recommended that applicants adhere to the following format and suggestions when preparing their response.

B. Responses to the Information for Evaluation section of the Application Packet should be labeled to reflect the corresponding item/question (Example: E.1.A)

C. The original Application Packet and all copies should be arranged in the following order.

- Original signed Application Signature Page
- Agreement and Compliance Pages
- Proposed Subcontractors Form
• Restriction of Boycott of Israel Certification
• SF-LLL Disclosure of Lobbying Activities
• Response to the Information for Evaluation section included in the Application Packet
• EO 98-04 Contract and Grant Disclosure and Certification form
• Signed Addenda, if applicable
• Copy of Illegal Immigrant Certification https://www.ark.org/dfa/immigrant/index.php/user/welcome
• Business Associate Agreement (AS-4001)
• Other documents and/or information as may be expressly required in this Solicitation. Label documents and/or information so as to reference the Solicitation’s item number.

1.13 CLARIFICATION OF SOLICITATION
A. Any questions requesting clarification of information contained in this RFA must be submitted in writing via email by 4:00 p.m., Central Time on or before 06/15/2021 to the ADH issuing officer as shown on page one (1) of this RFA.
   1. For each question submitted, applicant should reference the specific solicitation item number to which the question refers.
   2. Applicants’ written questions will be consolidated and responded to by the State. The State’s consolidated written response is anticipated to be posted to the ADH website by the close of business on 05/17/2021.
B. Applicants may contact the issuing officer with non-substantive questions at any time prior to the application deadline by e-mail.
C. Oral statements by ADH shall not be part of any contract resulting from this solicitation and may not reasonably be relied on by any vendor as an aid to interpretation unless it is reduced to writing and expressly adopted by ADH.

1.14 APPLICATION SIGNATURE PAGE
A. An official authorized to bind the vendor(s) to a resultant Sub-Grant must sign the Application Signature Page included in the Application Packet.
B. Applicant’s signature on this page shall signify vendor’s agreement that either of the following shall cause the response to be disqualified:
   • Additional terms or conditions submitted intentionally or inadvertently
   • Any exception that conflicts with a requirement of this RFA

1.15 AGREEMENT AND COMPLIANCE PAGES
A. Applicant must sign all agreement and compliance pages relevant to the solicitation document. The agreement and compliance pages are included in the Application Packet.
B. Submission of applicant and applicant’s signature on these pages shall signify agreement to and compliance with all requirements within the solicitation and application.

1.16 PRIME CONTRACTOR RESPONSIBILITY
A. A single vendor must be identified as the prime contractor.
B. The prime contractor shall be responsible for the contract and jointly and severally liable with any of its subcontractors, affiliates, or agents to the State for the performance thereof.

1.17 FUNDING ESCALATION
A. ADH may increase funding throughout the duration of the contract.
B. Recipient must provide a revised budget reflecting the increase. ADH shall have the right to require additional information pertaining to the increase.
C. ADH must approve of all budget revisions.

1.18 PROPRIETARY INFORMATION
A. Submission documents pertaining to this solicitation become the property of the State and are subject to the Arkansas Freedom of Information Act (FOIA).

B. The vendor shall be responsible for identifying all proprietary information and for ensuring the electronic copy is protected against restoration of redacted data.

C. The redacted copy shall be open to public inspection under the Arkansas Freedom of Information Act (FOIA) without further notice to the vendor.

D. If a redacted copy of the submission documents is not provided with vendor’s response packet, a copy of the non-redacted documents, with the exception of financial data, shall be released in response to any request made under the Arkansas Freedom of Information Act (FOIA).

E. If the State deems redacted information to be subject to Arkansas Freedom of Information (FOIA), the vendor will be contacted prior to release of the documents.

1.19 CAUTION TO RECIPIENT(S)
A. Prior to any contract award, all communication concerning this solicitation must be addressed through ADH.

B. Applicant must not alter any language in any solicitation document provided by the State.

C. All official documents and correspondence related to this solicitation shall be included as part of the resultant contract.

D. Responses must be submitted only in the English language.

E. The State shall have the right to award or not award a contract, if it is in the best interest of the State to do so.

F. Applicant must provide clarification of any information in their response documents as requested by ADH.

G. Qualifications must meet or exceed the required specifications as set forth in this solicitation.

1.20 REQUIREMENT OF ADDENDUM
A. This solicitation shall be modified only by an addendum written and authorized by ADH.

B. An addendum posted within three (3) calendar days prior to the application deadline and shall extend the due date and may or may not include changes to the Solicitation.


1.21 Successful Recipient(s) Selection
A. The ranking of recipients shall be determined by the total score each application receives during evaluation.

B. Anticipation to Award

1. Once the anticipated successful recipient(s) have been determined, the anticipated award notification will be emailed to all applicants and/or posted on the ADH website.

2. The anticipated award will be for a period of fourteen (14) days prior to the issuance of a contract. Vendors and agencies are cautioned that these are preliminary results only, and a contract will not be issued prior to the end of the fourteen-day period.

3. ADH shall have the right to waive the policy of Anticipation to Award when it is in the best interest of the State.

4. It is the applicant’s responsibility to check email and/or the website for the notification of an anticipated award.
C. Issuance of a Contract

1. Any resultant sub-grant of this shall be subject to State approval processes which may include Legislative review.

2. The issuing officer will be responsible for award and administration of any resulting sub-grant.

1.22 MINORITY BUSINESS POLICY
A. A minority-owned business is defined by Arkansas Code Annotated § 15-4-303 as a business owned by a lawful permanent resident of this State who is:
   • African American
   • American Indian
   • Asian American
   • Hispanic American
   • Pacific Islander American
   • A Service-Disabled Veteran as designated by the United States Department of Veteran Affairs

B. A women-owned business is defined by Act 1080 of the 91st General Assembly Regular Session 2017 as a business that is at least fifty-one percent (51%) owned by one (1) or more women who are lawful permanent residents of this State.

C. The Arkansas Economic Development Commission conducts a certification process for minority-owned and women-owned businesses. If certified, the Prospective Contractor’s Certification Number should be included on the Application Signature Page.

1.23 PROHIBITION OF EMPLOYMENT OF ILLEGAL IMMIGRANTS
A. Pursuant to Arkansas Code Annotated § 19-11-105, prior to the award of a sub-grant, selected recipients must have a current certification on file with ADH stating that they do not employ or contract with illegal immigrants.

B. Recipients must complete their certification at https://www.ark.org/dfa/immigrant/index.php/user/welcome and should submit a hardcopy accompanying application packet.

1.24 RESTRICTION OF BOYCOTT OF ISRAEL
A. Pursuant to Arkansas Code Annotated § 25-1-503, a public entity shall not enter into a contract with a company unless the contract includes a written certification that the person or company is not currently engaged in, and agrees for the duration of the contract not to engage in, a boycott of Israel.

B. This prohibition does not apply to a company which offers to provide the goods or services for at least twenty percent (20%) less than the lowest certifying business.

C. By signing the Application Packet, the applicant agrees and certifies that they do not, and will not for the duration of the contract, boycott Israel.

1.25 CERTIFICATION REGARDING LOBBYING
A. The applicant will comply with Public Law 101-121, Section 319 (Section 1352 of Title 31 U.S.C.) by certifying that appropriated federal funds have not been or will not be used to pay any person to influence or attempt to influence a federal official/employee in connection with awarding of any federal contract, sub-grant, loan or cooperative agreement for an award in excess of $100,000.

B. If the applicant has paid or will pay for lobbying using funds other than appropriated federal funds, Standard Form-LLL (Disclosure of Lobbying Activities) shall be completed and included with the Application Packet.

1.26 CERTIFICATION REGARDING DEBARMENT AND SUSPENSION
A. The recipient, as a lower tier recipient of federal funds, will comply with Executive Order 12549 (Certification Regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion – Lower Tier Covered Transactions).

B. By signing and submitting this application package, the applicant(s) understands and agrees, as defined in 45 CFR Part 76, and certifies to the best of its knowledge and belief that it and its principals:
   • Are not presently debarred, suspended proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any federal department of agency.
• Where the prospective lower tier participant is unable to certify to any of the above, such prospective participant shall attach an explanation to this proposal.

1.27 **PAST PERFORMANCE**
An applicant’s past performance with the State may be used to determine if the applicant is “responsible.” Responses submitted by applicant determined to be non-responsible **shall** be disqualified.

1.28 **PUBLICITY**
A. Do not discuss the solicitation nor your proposal response, nor issue statements or comments, nor provide interviews to any public media during the solicitation and award process.

B. Failure to comply with this requirement may be cause an applicant to be disqualified.

1.29 **PRIVACY & SECURITY REQUIREMENTS**
The Contractor **shall**:

1. At all times comply with the requirements of the Arkansas Personal Information Protection Act and any other State/Federal laws, regulations, rules, and policies regarding the privacy and security of information.

2. Provide for physical and electronic security of all Protected Health Information generated or acquired by the contractor in implementation of the contract, in compliance with Health Insurance Portability and Accountability Act (HIPAA) and the Health Information Technology for Economic and Clinical Health (HITECH) Act, and consistent with the Business Associate Agreement executed between the parties.

3. Prior to award, the contractor **must** sign a Business Associate Agreement.

1.30 **RESERVATION**
The State will not pay costs incurred in the preparation of an application.

**SECTION 2 – APPLICANT REQUIREMENTS**
• Do not provide responses to items in this section unless specifically and expressly required.

**SCOPE OF WORK**
To expand resources within the state for licensed certified social worker (LCSW) and community health worker (CHW) services. To provide a collaborative process for HIV assessment, evaluation, and advocacy for options and services that meet health and supportive needs of persons living with HIV.

**RECIPIENT REQUIREMENTS**
To be eligible an organization must meet the following criteria:

A. Organization Requirements
1. Applicants must have sufficient resources or funding, independent of this agreement, to ensure the organization’s ability to provide services under an actual cost reimbursement method up to sixty (60) days from the date of submission of an invoice. No advance payments will be made.

2. Private non-profit organizations which apply must have an active Board of Directors.

3. Applicant organizations’ structure must provide for the following:
   - Management staff
   - Fiscal staff
   - Data management staff
   - Program staff
   - Quality management program staff

4. **Applicant organizations shall participate on the RFA workshop. Workshop will be held virtually on June 14, 2021 from 10a.m. to 12p.m. Zoom Meeting ID: 841 8567 6604 Passcode: 659957**

B. Supportive Services Requirements

1. Applications submitted in response to this RFA must include the following components:
   - Ending the HIV Epidemic (EHE) services must include the provision of HIV medical and supportive services transportation assistance. This assistance can be in the form of gasoline cards and/or direct transit reservations (ex: Uber/taxi; bus; cab; etc.).

2. EHE services must include the provision of nutritional assistance to HIV positive clients. This assistance can be in the form of gift cards to the local grocer (ex: Wal-Mart, Kroger, Harp’s, etc.).
C. Core Services Requirements

Applications submitted in response to this RFA must include the following components:

1. Ending the HIV Epidemic (EHE) Facilities established by funded applicant must be physically located in the counties indicated for each region outlined on the attached “Funding Allocation Table” and must provide services for the counties indicated within the region. Satellite EHE Facilities must be developed in the counties indicated.

2. Provide comprehensive client-centered HIV assessment and coordination services by a multidisciplinary team that includes licensed certified social workers (LCSW) and community health workers (CHW). Awarded applicant will be provided by the ADH EHE Program a detailed standards manual, EHE program documents/forms, and required workflows for the Arkansas EHE model. Assessment and coordination will include, but not limited to, the following duties:

**Community Health Workers (CHW)**

- **Cultural mediation between individuals, communities, and health and social systems.** Support and increase linkage to and retention in care and adherencer to treatment by educating clients about treatment and the appropriate use of services.

- **Provide culturally appropriate health education and information.** Improve adherence to treatment by providing structured educational sessions on topics such as HIV, viral life cycle, treatment, and side effects.

- **Care coordination, case management, and system navigation.** Support retention in care by assisting clients with referrals for transportation, housing, behavioral health treatment, and other support services.

- **Provide coaching and social support.** Support retention in care and treatment adherence by providing emotional support to clients. Facilitate groups or one-to-one sessions virtually and/or in-person monthly.

- **Advocating for individuals and communities.** Support the entire HIV Care Continuum by serving on the Arkansas HIV Planning Group (HPG).

- **Building individual and community capacity.** Support retention in care and reduce barriers by collaborating with medical, behavioral health, and social services providers.

- **Providing direct services.** Support treatment adherence by providing transportation assistance to HIV medical appointment and other supportive services (case management, housing, insurance, etc.).

- **Conducting outreach.** Support linkage to and retention in care by re-engaging clients lost to follow-up. Presenting at local agencies and community events.

- **Establish routine integrated testing.** Support identification and testing efforts in the state through the establishment of regular non-traditional testing sites in counties of assigned regions. Integrated testing includes: HIV, chlamydia, gonorrhea, and syphilis.

- **Participate in evaluation and research.** Document activities in programmatic systems as required.

- **Participate in continued CHW development.** Support the continued development and expansion of CHW activities for persons living with HIV and participate within provided trainings and conferences.

**Licensed Certified Social Worker (LCSW)**

- **Licensed.** Must be licensed as a Licensed Certified Social Worker by the Arkansas Social Work Licensing Board.

- **Identify and Prioritize High Risk Clients.** Identify patients who need immediate assistance, prioritize, and provide services accordingly. This may include providing supportive counseling, referral to community agencies, psychiatric referrals to medical setting or community agencies, crisis intervention, and mandated reporting.

- **Initial Assessments.** Collects data which includes assessment of client's strengths as well as weaknesses through a systematic evaluation of the client's current level of functioning. To understand the client as a whole person, the HIV LCSW must assess the interplay among physical, environmental, behavioral, psychological, economic, and social factors. Areas commonly evaluated by the LCSW include but are not limited to mental health status, preexisting health or mental health problems, an appraisal of the client's needs, and the resources of the patient’s informal support system, including family members, friends, and organizational memberships, social role functioning, environmental issues, including economic situation, employment status, and other basic needs, relevant cultural and religious factors. The HIV LCSW formulates an intervention plan based on the findings of this assessment.
• **Follow-up.** During the six-month time period for clients to receive EHE LCSW services, clients should have ongoing follow-up on treatment plan goals and interventions as appropriate.

• **Psychosocial Counseling.** Provide counseling which may include long/short term psychotherapy, supportive counseling, couples, family, or group therapy in order to enhance coping skills, support adherence to medical treatment plan, decrease maladaptive functioning, and improve emotional well-being.

• **Identifying Solutions and Techniques.** Provide clients with assistance for navigating systems while recognizing individuality, self-respect, and self-worth.

• **Crisis Intervention Activities.** Interview client in the event that the client is experiencing a situational or environmental crisis due to HIV disease, e.g., the loss of permanent housing, change in medical diagnosis, recent bereavement, etc. This may include providing supportive counseling, referral to community agencies, psychiatric referrals, and mandated reporting.

• **Family/Significant Other Support.** Interview significant other/family member(s), with client consent to discuss the client and family related issues and provide appropriate HIV related services and referrals.

• **Client Advocacy and Linkage.** On behalf of the client, family, or significant other, intervene to help obtain services or assistance in communicating with providers and agencies. Linkages includes contacting a referral source for a client to ensure service provision. Contact client, family, or significant other with in-person, written, or telephone referrals to appropriate resources.

• **Education.** Provide basic information and education. Topics may include but are not limited to the following: HIV/AIDS prevention, transmission, medication adherence, public benefits, eligibility requirements, access to benefits, responding to stigma, promoting positive health outcomes, and how to work with an interdisciplinary treatment team.

• **Private/Public Benefits Counseling.** Provide client with assistance for obtaining benefits of private and/or public benefits, eligibility requirements, and access to benefits.

• **Transportation.** Arrange or refer patient for transportation services related to HIV health care and/or supportive services.

• **Case Consultation.** HIV LCSW will seek the advice and counsel of colleagues in order to maintain clinical objectivity and optimal "patient care" information for clients being served.

• **Documentation.** Documenting, compiling, or writing accurate reports which are specific to individual clients and meet agency documentation guidelines.

• **Discharge Planning.** Assist client with post treatment services, including but not limited to home care, community referrals, outpatient medical services, and shelter (hospice, nursing home, residential AIDS facility, mission, substance abuse detox/treatment, independent living, etc.). In addition, to ensuring the client is linked to their Ryan White Part B and AIDS Drug Assistance Program (ADAP) case manager for their six (6) month re-screening for the program.

• **Meetings/Supervision.** Attendance at meetings related to agency or LCSW activities and participation in mandatory quality improvement sessions.

• **Trainings.** Maintain continuing education requirements as mandated by agency guidelines and the Arkansas Social Work Licensing Board.

• **Community Networking and Collaboration.** Attend community meetings, participate within the Arkansas HIV Planning Group, and collaborate with other care providers in order to pursue a higher quality of care for people living with HIV.

### 2.3 Performance Standards

A. **State law requires that all contracts for services include Performance Standards for measuring the overall quality of services provided.** The table below, Performance Standards identifies expected deliverables, performance measures, or outcomes, and defines the acceptable standards a vendor must meet in order to avoid assessment of damages.

B. **The State may be open to negotiations of Performance Standards prior to contract award, prior to the commencement of services, or at times throughout the contract duration.**

C. **The State shall have the right to modify, add, or delete Performance Standards throughout the term of the contract, should the State determine it is in its best interest to do so. Any changes or additions to performance standards may be made at any time during the contractual term.**
standards will be made in good faith following acceptable industry standards and may include the input of the vendor so as to establish standards that are reasonably achievable.

D. All changes made to the Performance Standards **shall** become an official part of the contract.

E. Performance Standards **shall** continue throughout the term of the contract.

F. Failure to meet the minimum Performance Standards as specified **shall** result in the assessment of damages.

G. In the event a Performance Standard is not met, the vendor will have the opportunity to defend or respond to the insufficiency. The State shall have the right to waive damages if it determines there were extenuating factors beyond the control of the vendor that hindered the performance of services. In these instances, the State shall have final determination of the performance acceptability.

H. Should any compensation be owed to the agency due to the assessment of damages, vendor **shall** follow the direction of the agency regarding the required compensation process.

<table>
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<tr>
<th>Service Criteria</th>
<th>Acceptable Performance</th>
<th>Damages for Insufficient Performance</th>
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<tbody>
<tr>
<td>Organization Requirements</td>
<td>Meeting 100% of activities outlined in proposal and proposal's work plan.</td>
<td>Submission of sub-standard Vendor Performance Report (VPR) within the state system, reduction in funding award, 5% penalty, and/or contract termination.</td>
</tr>
<tr>
<td>Supportive Services Requirements</td>
<td>Meeting 95% of activities outlined in proposal and proposal’s work plan.</td>
<td>Submission of sub-standard Vendor Performance Report (VPR) within the state system, reduction in funding award, 5% penalty, and/or contract termination.</td>
</tr>
<tr>
<td>Core Services Requirements</td>
<td>Meeting 95% of activities outlined in proposal and proposal’s work plan.</td>
<td>Submission of sub-standard Vendor Performance Report (VPR) within the state system, reduction in funding award, 5% penalty, and/or contract termination.</td>
</tr>
</tbody>
</table>

**SECTION 3 – CRITERIA FOR SELECTION**

- **Do not provide responses to items in this section.**

3.1 **APPLICATION SCORE**
A. ADH will review each Application Packet to verify submission requirements have been met. Application Packets that do not meet submission requirements shall be disqualified and shall not be evaluated.

B. An agency-appointed evaluation committee will evaluate and score qualifying applications. Evaluation will be based on applicant's response to the Information for Evaluation section included in the Application Packet.

1. Members of the evaluation committee will individually review and evaluate proposals and complete an Individual Score Worksheet for each proposal. Individual scoring for each evaluation criteria will be based on the following Scoring Description.

<table>
<thead>
<tr>
<th>Quality Rating</th>
<th>Quality of Response</th>
<th>Description</th>
<th>Confidence in Proposed Approach</th>
</tr>
</thead>
<tbody>
<tr>
<td>5</td>
<td>Excellent</td>
<td>When considered in relation to the RFA evaluation factor, the application squarely meets the requirement and exhibits outstanding knowledge, creativity, ability or other exceptional characteristics. Extremely good.</td>
<td>Very High</td>
</tr>
<tr>
<td>4</td>
<td>Good</td>
<td>When considered in the relation to the RFA evaluation factor, the application squarely meets the requirement and is better than merely acceptable.</td>
<td>High</td>
</tr>
<tr>
<td>3</td>
<td>Acceptable</td>
<td>When considered in relation to the RFA evaluation factor, the application is of acceptable quality.</td>
<td>Moderate</td>
</tr>
<tr>
<td>2</td>
<td>Marginal</td>
<td>When considered in relation to the RFA evaluation factor, the application’s acceptability is doubtful.</td>
<td>Low</td>
</tr>
<tr>
<td>1</td>
<td>Poor</td>
<td>When considered in relation to the RFA evaluation factor, the application is inferior.</td>
<td>Very Low</td>
</tr>
<tr>
<td>0</td>
<td>Unacceptable</td>
<td>When considered in relation to the RFA evaluation factor, the application clearly does not meet the requirement, either because it was left blank or because the application is unresponsive.</td>
<td>No Confidence</td>
</tr>
</tbody>
</table>

2. After initial individual evaluations are complete, the evaluation committee members will meet to discuss their individual ratings during the consensus meeting. At this consensus scoring meeting, each member will be afforded an opportunity to discuss his or her rating for each evaluation criteria.

3. After committee members have had an opportunity to discuss their individual scores with the group, the individual committee members will be given the opportunity to change their initial individual scores, if they feel that is appropriate.

4. The final individual scores of the evaluators will be recorded on the Consensus Score Sheets and averaged to determine the group or consensus score for each application.

5. Other agencies, consultants, and experts may also examine documents at the discretion of the Agency.

C. The Information for Evaluation section has been divided into sub-sections.

1. In each sub-section, items/questions have each been assigned a maximum point value of five (5) points. The total point value for each sub-section is reflected in the table below as the Maximum Raw Score Possible.

2. The agency has assigned Weighted Percentages to each sub-section according to its significance.
D. The applicant’s weighted score for each sub-section will be determined using the following formula:

\[(A/B) \times C = D\]

A = Actual Raw Points received for sub-section in evaluation
B = Maximum Raw Points possible for sub-section
C = Maximum Weighted Score possible for sub-section
D = Weighted Score received for sub-section

E. Applicant’s weighted scores for sub-sections will be added to determine the Total Score for the Application.

F. Applications that do not receive a minimum weighted score/subtotal of 450 may not move forward in the solicitation process.

3.2 ACCEPTANCE OF EVALUATION TECHNIQUE

A. Applicant must agree to all evaluation processes and procedures as defined in this solicitation.

B. The submission of an Application Packet signifies the applicant understands and agrees that subjective judgments will be made during the evaluation and scoring of the responses.

SECTION 4 – GENERAL CONTRACTUAL REQUIREMENTS

- Do not provide responses to items in this section.

4.1 PAYMENT AND INVOICE PROVISIONS

A. All invoices shall be forwarded to:

Doriane N. Boyd
4815 W Markham, Slot 33
Little Rock, AR 72205

- Final invoices must be submitted to (ADH) within thirty (30) calendar days of contract expiration.

B. Pursuant to Arkansas Code Annotated 19-4-206, the agency shall certify that services have been performed or the goods received prior to payment being authorized and processed.

C. Additional documentation may be required when submitting invoices for payment.

4.2 USE OF FUNDS

A. Funds must be used to meet requirements of the sub-grant.

B. Funds may not be used for items not identified on the budget with a budget adjustment request and/or prior approvals.

4.3 CONDITIONS OF CONTRACT
A. Recipient(s) shall at all times observe and comply with federal and State of Arkansas laws, local laws, ordinances, orders, and regulations existing at the time of, or enacted subsequent to the execution of a resulting contract which in any manner affect the completion of the work.

B. Recipient(s) shall indemnify and save harmless the agency and all its officers, representatives, agents, and employees against any claim or liability arising from or based upon the violation of any such law, ordinance, regulation, order or decree by an employee, representative, or subcontractor of the vendor.

4.4 STATEMENT OF LIABILITY
A. The State will demonstrate reasonable care but will not be liable in the event of loss, destruction or theft of recipient-owned equipment or software and technical and business or operations literature to be delivered or to be used in the installation of deliverables and services. The recipient shall retain total liability for equipment, software and technical and business or operations literature. The State shall not at any time be responsible for or accept liability for any recipient-owned items.

B. The recipient’s liability for damages to the State shall be limited to the value of the sub-grant. The foregoing limitation of liability shall not apply to claims for infringement of United States patent, copyright, trademarks or trade secrets; to claims for personal injury or damage to property caused by the gross negligence or willful misconduct of the vendor; to claims covered by other specific provisions of the contract calling for damages; or to court costs or attorney’s fees awarded by a court in addition to damages after litigation based on the contract. The recipient and the State shall not be liable to each other, regardless of the form of action, for consequential, incidental, indirect, or special damages. This limitation of liability shall not apply to claims for infringement of United States patent, copyright, trademark or trade secrets; to claims for personal injury or damage to property caused by the gross negligence or willful misconduct of the vendor; to claims covered by other specific provisions of the contract calling for damages; or to court costs or attorney’s fees awarded by a court in addition to damages after litigation based on the contract.

C. Language in these terms and conditions shall not be construed or deemed as the State’s waiver of its right of sovereign immunity. The vendor agrees that any claims against the State, whether sounding in tort or in contract, shall be brought before the Arkansas Claims Commission as provided by Arkansas law, and shall be governed accordingly.

4.5 RECORD RETENTION
A. The applicant shall maintain all pertinent financial and accounting records and evidence pertaining to the contract in accordance with generally accepted principles of accounting and as specified by the State of Arkansas Law. Upon request, access shall be granted to State or Federal Government entities or any of their duly authorized representatives.

B. Records shall be made available, upon request, to the State of Arkansas's designee(s) at any time during the contract period and any extension thereof, for a period of five (5) years from the date this sub-grant expires, or if an audit is pending at the end of the five-year period, until resolution of the audit. Department access to all books, records, and other documents will be according to the procedures outlined in Section VIII, A, of this sub-grant. HIPAA-related records will be retained for a minimum of six (6) years from the date of sub-grant expiration.

4.6 ACCESS TO RECORDS
The recipient will grant access to its records upon request by duly authorized representatives of state or federal government entities. Access will be given to any books, documents, papers, or records of the recipient related to any services performed under the sub-grant.

4.7 CONFIDENTIALITY
A. The applicant, applicant’s subsidiaries, and applicant’s employees shall be bound to all laws and to all requirements set forth in this bid solicitation concerning the confidentiality and secure handling of information of which they may become aware during the course of providing services under a resulting contract.

B. Consistent and/or uncorrected breaches of confidentiality may constitute grounds for cancellation of a resulting contract, and the State shall have the right to cancel the contract on these grounds.

C. Previous sections of this bid solicitation may contain additional confidentiality Requirements.

4.8 CONTRACT INTERPRETATION
Should the State and vendor interpret specifications differently, either party may request clarification. However, if an agreement cannot be reached, the determination of the State **shall** be final and controlling.

4.9 **LEGISLATIVE REVIEW**

A. Act 1032 of 1999 specifies that no state agency shall award any discretionary sub-grant that exceeds $10,000.00 prior to review by the Arkansas Legislative Council or the Joint Budget Committee.

B. If the state agency determines that an emergency exists the state agency may award the sub-grant prior to review and shall immediately notify the Legislative Council or Joint Budget Committee as to the facts constituting the emergency.

C. All non-discretionary sub-grants are exempt from review.

D. Certain discretionary sub-grants are exempt from review. These include:
   - sub-grants to another governmental entity such as a state agency, public educational institution, federal governmental entity or body of a local government
   - disaster relief sub-grants
   - sub-grants identified by the Arkansas Legislative Council to be exempt
   - sub-grants deemed to contain confidential information that would be in violation of disclosure laws
   - sub-grants for scholarship or financial assistance award to or for a post-secondary student

4.10 **CANCELLATION**

A. **For Cause.** The State may cancel any contract resulting from this solicitation for cause when the recipient fails to perform its obligations under it by giving the recipient written notice of such cancellation at least thirty (30) days prior to the date of proposed cancellation. In any written notice of cancellation for cause, the State will advise the recipient in writing of the reasons why the State is considering cancelling the contract and provide the recipient with an opportunity to avoid cancellation for cause by curing any deficiencies identified in the notice of cancellation for cause prior to the date of proposed cancellation. To the extent permitted by law and at the discretion of the parties, the parties may agree to minor amendments to the contract and avoid the cancellation for cause upon mutual agreement.

B. **For Convenience.** The State may cancel any contract resulting from the solicitation by giving the Recipient written notice of such cancellation sixty (60) days prior to the date of cancellation.

C. If upon cancellation the recipient has provided commodities or services which the State of Arkansas has accepted, and there are no funds legally available to pay for the commodities or services, the recipient may file a claim with the Arkansas Claims Commission under the laws and regulations governing the filing of such claims.

4.11 **SEVERABILITY**

If any provision of the contract, including items incorporated by reference, is declared or found to be illegal, unenforceable, or void, then both the agency and the vendor **shall** be relieved of all obligations arising under such provision. If the remainder of the contract is capable of performance, it **shall not** be affected by such declaration or finding and **shall** be fully performed.

**SECTION 5 – STANDARD TERMS AND CONDITIONS**

- **Do not provide responses to items in this section.**

1. **GENERAL:** Any special terms and conditions included in this solicitation **shall** override these Standard Terms and Conditions. The Standard Terms and Conditions and any special terms and conditions **shall** become part of any contract entered into if any or all parts of the bid are accepted by the State of Arkansas.

2. **ACCEPTANCE AND REJECTION:** The State **shall** have the right to accept or reject all or any part of an application or any and all applications, to waive minor technicalities, and to award the sub-grant to best serve the interest of the State.

3. **APPLICATION SUBMISSION:** Application Packets **must** be submitted to the Arkansas Department of Health on or before the date and time specified. The Application Packet **must** contain all documents, information, and attachments as specifically and expressly required in the solicitation. The application **must** be typed or printed in ink. The signature **must** be in ink. Unsigned applications **shall** be disqualified. The person signing the application should show title or authority to bind his firm in a contract. Late applications **shall not** be considered under any circumstances.
4. FORCE MAJESTY: Neither party will be held responsible for the delay or failure to perform any part of this sub-grant when such delay or failure results from fire, flood, epidemic, war or insurrection, unusually severe weather, or the legal acts of public authorities.

5. STATE AND FEDERAL LAWS: Performance of this sub-grant by the recipient and the Department must comply with state and federal laws, rules, and regulations. If any statute or regulation is enacted which requires changes in this sub-grant, the recipient will receive notification of the required changes. This sub-grant shall then be amended.

6. COMPLIANCE WITH NONDISCRIMINATION LAWS: The recipient will comply with all applicable provisions of the following federal regulations related to nondiscrimination, both in service delivery to clients and in employment, including, but not limited to, the following:
   - Title 45 Code of Federal Regulations
     - Part 80 (Nondiscrimination on the Basis of Race or Sex)
     - Part 84 (Nondiscrimination on the Basis of Handicap)
     - Part 90 (Nondiscrimination on the Basis of Age)
   - Title 28 Code of Federal Regulations
     - Part 35 (Nondiscrimination on the Basis of Disability in State and Local Government Services)
   - Title 41 Code of Federal Regulations
     - Part 60-74 (OFCCP: Affirmative Action Regulations on Handicapped Workers)

ADH will furnish a copy of these regulations to the recipient upon request.

7. CONFIDENTIALITY OF CLIENT RECORDS: The recipient will maintain the confidentiality of all client records. This restriction does not apply to disclosures made with the informed, written consent of the client, or if the client is not a competent adult or is a minor, with such consent of the client’s parent, guardian, or legal representative.

8. LIMITATION OF THE DEPARTMENT'S OBLIGATION TO PAY: The Department is not obligated to make payment under this sub-grant if the Department does not receive sufficient monies from the funding source(s) designated in this sub-grant to fund said obligations and other obligations of the Department, or is not given legal authority from the Arkansas Legislature to expend these funds. The Department is not obligated to make payment if sufficient state or local matching money is not available at the time the bill is presented for payment.

9. PAYMENT FROM DEPARTMENT CONSIDERED PAYMENT IN FULL: Payment received from the Department under this sub-grant shall be payment in full for all services and/or costs covered by the payment. No fee or other charge shall be made against a client or a third party for these services and/or costs. This paragraph does not preclude allocation of costs among two or more funding sources, or payment of portions of a service and/or cost under different funding sources, so long as there is no duplication of payment.

10. AUDIT REQUIREMENT: For awards in excess of $500,000.00 a current audit report is due. Recipient shall comply with the ADH audit requirements as outlined in Arkansas Department of Health “Audit Guidelines.”

Arkansas Department of Health
Internal Audit Section
4815 West Markham Street, Slot 54
Little Rock, AR 72205-3867

11. DEPARTMENTAL RECOVERY OF FUNDS: The Department shall seek to recover funds not utilized in accordance with the terms and conditions of this sub-grant.

12. AMENDMENTS: Any amendment to this sub-grant shall be valid only when in writing and when duly signed by the authorized representative(s) of the Recipient and the Arkansas Department of Health. Recipient and Department acknowledge that no verbal or written representations, other than those contained herein, have been made as an inducement to enter into this agreement and that this writing constitutes the entire agreement.

13. AWARD: Term Contract: A contract award will be issued to the successful recipient. It results in a binding obligation without further action by either party. This award does not authorize shipment. Shipment is authorized by the receipt of a purchase order from the ordering agency. Firm Contract: A written State purchase order authorizing shipment will be furnished to the successful vendor.

14. STATE PROPERTY: Any specifications, drawings, technical information, dies, cuts, negatives, positives, data or any other commodity furnished to the contractor hereunder or in contemplation hereof or developed by the contractor for use hereunder shall remain property of the State, shall be kept confidential, shall be used only as expressly authorized, and shall be returned at the contractor's expense to the F.O.B. point provided by the agency. Vendor shall properly identify items being returned.

15. PATENTS OR COPYRIGHTS: The contractor must agree to indemnify and hold the State harmless from all claims, damages and costs including attorneys' fees, arising from infringement of patents or copyrights.

16. ASSIGNMENT: Any contract entered into pursuant to this solicitation shall not be assignable nor the duties thereunder delegable by either party without the written consent of the other party of the contract.
17. CLAIMS: Only those claims for costs and services specifically authorized under this sub-grant will be allowed by the Department. Any work performed, material furnished, or costs incurred not covered by this sub-grant shall be solely the responsibility of the Recipient.

18. CANCELLATION: In the event the State no longer needs the commodities or services specified for any reason (e.g., program changes; changes in laws, rules or regulations; relocation of offices; lack of appropriated funding, etc.), the State shall have the right to cancel the contract or purchase order by giving the vendor written notice of such cancellation thirty (30) days prior to the date of cancellation.

NOTHING IN THIS CONTRACT SHALL BE DEEMED A WAIVER OF THE STATE’S RIGHT TO SOVEREIGN IMMUNITY.

19. DISCRIMINATION: In order to comply with the provisions of Act 954 of 1977, relating to unfair employment practices, the vendor agrees that: (a) the vendor shall not discriminate against any employee or applicant for employment because of race, sex, color, age, religion, handicap, or national origin; (b) in all solicitations or advertisements for employees, the vendor shall state that all qualified applicants shall receive consideration without regard to race, color, sex, age, religion, handicap, or national origin; (c) the vendor will furnish such relevant information and reports as requested by the Human Resources Commission for the purpose of determining compliance with the statute; (d) failure of the vendor to comply with the statute, the rules and regulations promulgated thereunder and this nondiscrimination clause shall be deemed a breach of contract and it may be cancelled, terminated or suspended in whole or in part; (e) the vendor shall include the provisions of above items (a) through (d) in every subcontract so that such provisions shall be binding upon such subcontractor or vendor.

20. ETHICAL STANDARDS: Pursuant to Aransas Code Annotated §19-11-708(a-c), it shall be breach of ethical standards for a person to be retained, or to retain a person, to solicit or secure a state contract upon an agreement or understanding for a commission, percentage, brokerage, or contingent fee, except for retention of bona fide employees or bona fide established commercial selling agencies maintained by the contractor for the purpose of securing business.

21. ANTITRUST ASSIGNMENT: As part of the consideration for entering into any contract pursuant to this solicitation, the vendor named on the Application Signature Page for this solicitation, acting herein by the authorized individual or its duly authorized agent, hereby assigns, sells and transfers to the State of Arkansas all rights, title and interest in and to all causes of action it may have under the antitrust laws of the United States or this State for price fixing, which causes of action have accrued prior to the date of this assignment and which relate solely to the particular goods or services purchased or produced by this State pursuant to this contract.

22. DISCLOSURE: Failure to make any disclosure required by Governor's Executive Order 98-04, or any violation of any rule, regulation, or policy adopted pursuant to that order, shall be a material breach of the terms of this contract. Any contractor, whether an individual or entity, who fails to make the required disclosure or who violates any rule, regulation, or policy shall be subject to all legal remedies available to the agency.