



Arkansas Department of Health

4815 West Markham Street • Little Rock, Arkansas 72205-3867 • Telephone (501) 661-2000
 Governor Asa Hutchinson
 Nathaniel Smith, MD, MPH, Secretary of Health

NOTICE OF FUNDS AVAILABILITY **SOLICITATION DOCUMENT**

SOLICITATION INFORMATION			
NOFA Number:	DH-20-0017 (NOFA)	NOFA Issued:	April 30, 2020
Description:	Quality Improvement Project to Improve Breast and Cervical Cancer Screening Rates		
Agency:	Arkansas Department of Health – Center for Health Advancement, Chronic Disease Branch		

SUBMISSION DEADLINE FOR RESPONSE	
Application Due Date/Time:	Friday, May 8, 2020 at 2:00 p.m. CST
Applications shall not be accepted after the due date and time. It is the responsibility of the applicant to submit responses at the designated location on or before the application due date and time. Responses received after the designated application due date and time shall be considered late and shall be returned without further consideration.	

SUBMISSION OF RESPONSE DOCUMENTS	
Delivery Method:	Applications must be submitted electronically to the issuing officer's email address shown below.

ARKANSAS DEPARTMENT OF HEALTH CONTACT INFORMATION			
Issuing Officer:	Dr. Hattie Scribner	Phone Number:	501-661-2495
Email Address:	Hattie.Scribner@arkansas.gov	Fax Number:	
ADH Website:	http://www.healthy.arkansas.gov/aboutADH/Pages/GrantBidOpportunities.aspx		

SECTION 1 - GENERAL INFORMATION

- **Do not provide responses to items in this section unless specifically and expressly required.**

1.1 PURPOSE

The Arkansas Department of Health (ADH) issues this Notice of Funds Availability (NOFA) on behalf of Chronic Disease Prevention and Control Branch (CDPCB) Comprehensive Cancer Section to obtain applications for funding to support quality assurance (QA) and quality improvement (QI) activities for breast and cervical cancer screening, the implementation of evidenced-based interventions (EBI), and the use of Electronic Health Records (EHR) in designated health systems.

1.2 BACKGROUND

BreastCare is a National Breast and Cervical Cancer Early Detection Program (NBCCEDP) funded by the Centers for Disease Control and Prevention to promote breast and cervical cancer screenings and diagnostics services to underserved and uninsured women within health systems. Health systems are key partners in providing clinical services, patient navigation, and implementation of EBIs.

NBCCEDP recommends QA/QI to support the quality of clinical service delivery. QA is the process of monitoring which health services for population increases the desired health outcomes and are consistent with current professional knowledge. The QI process helps to identify and improve aspects of the program that may impede functions, such as bottlenecks in claims payments, and timely and complete submission of data by providers. Both QA/QI processes are intended to 1) improve screening and diagnostic services; 2) link structure and process and include standards, measurement, and actions; 3) identify and remedy root causes of quality problems; 4) meet customer needs; and 5) focus on high-volume, costly, high-risk, or problem-prone aspects of care as priorities.

1.3 GRANT PERIOD

- A. The anticipated period **shall** be from July 1, 2020 to June 30, 2021.
- B. The initial term of a resulting sub grant will be for one (1) year. Upon mutual agreement between the applicant and the agency, the sub grant may be renewed for up to six (6) additional one-year terms or portions thereof contingent upon appropriation of funding and approvals.
- C. Total contract term, including any amendments and/or possible extensions, not to exceed seven (7) consecutive years.

1.4 AVAILABLE FUNDING

- A. Maximum amount of funding is \$50,000.00 to a single recipient.
- B. Funding is contingent upon review and acceptance of application.
- C. Funds **must** be used in accordance with the budget provided.
- D. ADH reserves the right to determine allowable and non-allowable costs.
- E. Prior to award, ADH may increase the amount of funding in efforts to maximize program support. Recipient(s) **must** submit a revised budget worksheet reflecting changes.

1.5 ELIGIBILITY & FUNDING REQUIREMENTS

One (1) recipient will be accepted and funded. Applicant **must** meet the following to be eligible to obtain funding:

- A. Experienced with Electronic Health Records.
- B. Experienced with conducting quality assurance and improvement support regarding cancer measures.
- C. Experienced with assessing activities, processes, and progress toward goals.
- D. Experienced with conducting evaluation, performance and data measurement plans.
- E. Must have equipment capable of performing all tasks and services necessary to fulfill all requirements

outlined in the scope of work.

1.6 **BUDGET & JUSTIFICATION**

- A. Applicant **must** complete the budget worksheet provided as a separate excel file and will not be included in the scoring of applications.
- B. Recipient(s) **shall** be reimbursed for allowable expenses only. Allowable expenses are those approved by ADH within the budget's itemized listing.

1.7 **ISSUING OFFICER**

The issuing officer is the sole point of contact throughout this solicitation.

1.8 **DEFINITION OF REQUIREMENT**

- A. The words "**must**" and "**shall**" signify a requirement of this NOFA and that vendor's agreement to and compliance with that item is mandatory.
- B. Applicant may request exceptions to **non-mandatory** items. The requested exception should reference the specific solicitation item number to which the exception applies.

1.9 **DEFINITION OF TERMS**

The issuing officer has made every effort to use industry-accepted terminology in the competitive bid and will attempt to further clarify any point or item in question. The following acronyms will be used throughout the document.

ADH:	Arkansas Department of Health
CDC:	Centers for Disease Control
CDPCD:	Chronic Disease Prevention and Control Branch
CDS:	Clinical Decision Support
CEHRT:	Certified Electronic Health Record Technology
EHR:	Electronic Health Records
EBI:	Evidenced Based Intervention
HCS:	Healthcare System
QA:	Quality Assurance
QI:	Quality Improvement
NBCCEDP:	National Breast and Cervical Cancer Early Detection Program
NOFA:	Notice of Funds Availability
OSP:	Office of State Procurement

1.10 **APPLICATION INSTRUCTIONS**

- A. The NOFA solicitation document is for informational purposes and includes all details of the sub-grant.
- B. Applicants **must** submit an Application Packet on or before the application due date/time.
- C. The Application Packet should include and be arranged as follows:
- Signed Application Signature Page
 - Agreement and Compliance Pages (If included)
 - Proposed Subcontractors Form
 - Restriction of Boycott of Israel Certification
 - SF-LLL Disclosure of Lobbying Activities
 - E.O. 98-04 – Contract and Grant Disclosure and Certification Form, if \$10,000 or greater
 - Equal Employment Opportunity Policy (See Equal Opportunity Policy)
 - Budget Worksheet
 - Copy of Illegal Immigrant Certification <https://www.ark.org/dfa/immigrant/index.php/user/welcome>
 - Business Associate Agreement (AS-4001)

1. The Application Packet **must** include complete documents including signatures as required.

- D. **DO NOT** include any other documents or ancillary information, such as a cover letter or promotional/marketing information.
- E. Label documents and/or information so as to reference the solicitation item number.
- F. If ADH requests additional information, it **must** be delivered within three (3) business days of the request. ADH reserves the right to disqualify applicant's if additional information is not received within the timeframe specified.

1.10 APPLICATION SIGNATURE PAGE

- A. An official authorized to bind the applicant to a resultant contract **must** sign the Application Signature Page included in the Application Packet.
- B. Applicant's signature on this page **shall** signify vendor's agreement that the following **may** cause the applicant's response to be disqualified:
 - Additional terms or conditions submitted intentionally or inadvertently
 - Any exception that conflicts with a requirement of this Solicitation
 - Incomplete documentation

1.11 AGREEMENT AND COMPLIANCE PAGES

- A. Applicant **must** sign all Agreement and Compliance Pages relevant to the solicitation document if provided in the Application Packet.
- B. Submission of applicant and applicant's signature on these pages **shall** signify agreement to and compliance with all requirements within the solicitation and application.

1.12 PRIME CONTRACTOR RESPONSIBILITY

- A. A single recipient **must** be identified as the prime contractor.
- B. The prime contractor **shall** be responsible for the contract and jointly and severally liable with any of its subcontractors, affiliates, or agents to the State for the performance thereof.

1.13 FUNDING ESCALATION

- A. ADH may increase funding throughout the duration of the contract.
- B. Recipient **must** provide a revised budget reflecting the increase. ADH **shall** have the right to require additional information pertaining to the increase.
- C. ADH **must** approve of all budget revisions.

1.14 PROPRIETARY INFORMATION

- A. Submission documents pertaining to this solicitation become the property of the State and are subject to the Arkansas Freedom of Information Act (FOIA).
- B. The vendor **shall** be responsible for identifying all proprietary information and for ensuring the electronic copy is protected against restoration of redacted data.
- C. The redacted copy **shall** be open to public inspection under the Arkansas Freedom of Information Act (FOIA) without further notice to the vendor.
- D. If a redacted copy of the submission documents is not provided with vendor's response packet, a copy of the non-redacted documents, with the exception of financial data, **shall** be released in response to any request made under the Arkansas Freedom of Information Act (FOIA).
- E. If the State deems redacted information to be subject to Arkansas Freedom of Information (FOIA), the vendor will be contacted prior to release of the documents.

1.15 CAUTION TO RECIPIENTS

- A. Prior to any contract award, all communication concerning this solicitation **must** be addressed through ADH.
- B. Applicant **must not** alter any language in any solicitation document provided by the State.
- C. All official documents and correspondence related to this solicitation **shall** be included as part of the resultant contract.
- D. Responses **must** be submitted only in the English language.
- E. The State **shall** have the right to award or not award a contract, if it is in the best interest of the State to do so.
- F. Applicant **must** provide clarification of any information in their response documents as requested by ADH.
- G. Qualifications **must** meet or exceed the required specifications as set forth in this solicitation.

1.16 REQUIREMENT OF ADDENDUM

- A. This solicitation **shall** be modified only by an addendum written and authorized by ADH.
- B. An addendum posted within three (3) calendar days prior to the application deadline and **shall** extend the due date and may or may not include changes to the solicitation.
- C. The applicant **shall** be responsible for checking the ADH website, <http://www.healthy.arkansas.gov/aboutADH/Pages/GrantBidOpportunities.aspx> for any and all addenda up to application deadline.

1.17 AWARD CRITERIA AND RESPONSIBILITY

- A. ADH will provide funding based on the receipt, review, and acceptance of applications.
- B. Any resultant sub-grant of this NOFA **shall** be subject to State approval processes which may include Legislative review.

1.18 MINORITY BUSINESS POLICY

- A. A minority-owned business is defined by Arkansas Code Annotated § 15-4-303 as a business owned by a lawful permanent resident of this State who is:
 - African American
 - American Indian
 - Asian American
 - Hispanic American
 - Pacific Islander American
 - A Service Disabled Veteran as designated by the United States Department of Veteran Affairs
- B. A women-owned business is defined by Act 1080 of the 91st General Assembly Regular Session 2017 as a business that is at least fifty-one percent (51%) owned by one (1) or more women who are lawful permanent residents of this State.
- C. The Arkansas Economic Development Commission conducts a certification process for minority-owned and women-owned businesses. If certified, the Prospective Contractor's Certification Number should be included on the Application Signature Page.

1.19 PROHIBITION OF EMPLOYMENT OF ILLEGAL IMMIGRANTS

- A. Pursuant to Arkansas Code Annotated § 19-11-105, prior to the award of a sub-grant, selected recipients **must** have a current certification on file with ADH stating that they do not employ or contract with illegal immigrants.
- B. Recipients **must** complete their certification at <https://www.ark.org/dfa/immigrant/index.php/user/welcome> and should submit a hardcopy accompanying application packet.

1.20 RESTRICTION OF BOYCOTT OF ISRAEL

- A. Pursuant to Arkansas Code Annotated § 25-1-503, a public entity shall not enter into a contract with a company unless the contract includes a written certification that the person or company is not currently engaged in, and agrees for the duration of the contract not to engage in, a boycott of Israel.

- B. This prohibition does not apply to a company which offers to provide the goods or services for at least twenty percent (20%) less than the lowest certifying business.
- C. By signing the Application Packet, the applicant agrees and certifies that they do not, and will not for the duration of the contract, boycott Israel.

1.21 CERTIFICATION REGARDING LOBBYING

- A. The applicant will comply with Public Law 101-121, Section 319 (Section 1352 of Title 31 U.S.C.) by certifying that appropriated federal funds have not been or will not be used to pay any person to influence or attempt to influence a federal official/employee in connection with awarding of any federal contract, sub-grant, loan or cooperative agreement for an award in excess of \$100,000.
- B. If the applicant has paid or will pay for lobbying using funds other than appropriated federal funds, Standard Form-LLL (Disclosure of Lobbying Activities) **shall** be completed and included with the Application Packet.

1.22 CERTIFICATION REGARDING DEBARMENT AND SUSPENSION

- A. The recipient, as a lower tier recipient of federal funds, will comply with Executive Order 12549 (Certification Regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion – Lower Tier Covered Transactions).
- B. By signing and submitting this application package, the applicant(s) understands and agrees, as defined in 45 CFR Part 76, and certifies to the best of its knowledge and belief that it and its principals:
 - Are not presently debarred, suspended proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any federal department of agency.
 - Where the prospective lower tier participant is unable to certify to any of the above, such prospective participant shall attach an explanation to this proposal.

1.23 PAST PERFORMANCE

An applicant's past performance with the State may be used to determine if the applicant is "responsible." Responses submitted by applicant determined to be non-responsible **shall** be disqualified.

1.24 PUBLICITY

- A. Do not discuss the solicitation nor your proposal response, nor issue statements or comments, nor provide interviews to any public media during the solicitation and award process.
- B. Failure to comply with this requirement may be cause an applicant to be disqualified.

1.25 PRIVACY & SECURITY REQUIREMENTS

The Contractor **shall**:

1. At all times comply with the requirements of the Arkansas Personal Information Protection Act and any other State/Federal laws, regulations, rules, and policies regarding the privacy and security of information.
2. Provide for physical and electronic security of all Protected Health Information generated or acquired by the contractor in implementation of the contract, in compliance with Health Insurance Portability and Accountability Act (HIPAA) and the Health Information Technology for Economic and Clinical Health (HITECH) Act, and consistent with the Business Associate Agreement executed between the parties.

1.26 RESERVATION

The State will not pay costs incurred in the preparation of an application.

SECTION 2 – APPLICANT REQUIREMENTS

- **Do not provide responses to items in this section unless specifically and expressly required.**

2.1 SCOPE OF WORK

The applicant works with healthcare system (HCS), which includes five to twelve clinics in conducting a comprehensive assessment of the healthcare delivery system. The assessment will include breast/cervical cancer screening rates, data/electronic health record (EHR) functionality, patient/health system process flow, policies/standing orders for cancer screening, provider/health system adherence to clinical cancer screening guidelines, patient navigation services, and use of evidenced-based interventions (EBIs) and/or other strategies that support cancer screenings. The applicant will work to maintain HCS participation and implementation of evidence-based interventions to increase cancer screening rates in each clinic.

2.2 RECIPIENT REQUIREMENTS

- A.** The applicant will conduct the following QA/QI measures:
- Collect baseline screening data for each clinic.
 - Track breast and cervical screening rates for each clinic.
 - Maintain EBI plan for health systems clinic.
 - Ensure proper capturing and reporting of screening data within the HCS chosen electronic Health Record (EHR) systems.
 - Identify clinical decision support (CDS) rules and alerts within the EHR systems to facilitate implementation of evidenced-based interventions (EBIs).
 - Provide clinicians with coaching, mentoring and training to implement EBIs for cancer screenings.
 - Generate ongoing reports to track changes in screening rates for target population and coach clinicians on improvement tactics.
- B.** The applicant will meet with participating clinics at least once monthly (virtually or onsite) to discuss and track progress made using EBIs and supporting activities at participating clinics. These include:
- EBI: Provider Assessment and Feedback
 - EBI: Provider Reminders
 - EBI: Patient Reminders
 - EBI: Reducing Structural Barriers
 - Supporting Activity: Patient Navigation
 - Supporting Activity: Small Media
- C.** The applicant will assist HCSs in gathering quality measures using Certified Electronic Health Record Technology (CEHRT) reporting capabilities.
- a. Quality measures will be mutually agreed upon by ADH and the contractor based on guidelines established in The Community Guide to Preventive Services.
 - Breast Cancer – Percentage of women 40-64 years of age who had a mammogram to screen for breast cancer.
 - Cervical Cancer – Percentage of women 21-64 years of age who received one or more pap tests to screen for cervical cancer.
 - b. Assist HCSs in alternate data abstraction or reporting methods for CEHRTs that are unable to report the measures.
- D.** The applicant will perform the planning, documentation, and coaching necessary for the following Plan-Do-Study-Act activities for implementing quality improvement strategies:
- a. Receive technical assistance using CEHRT, practice and workflow redesign to improve preventive cancer screenings and follow-up.
 - b. Monthly onsite or virtual training will be offered to:
 1. Increase use of standardized quality measures of care and EBIs.
 2. Monitor targeted and disparate populations to detect and manage cancer screenings.
 - c. Assist HCSs in using CEHRT to implement CDS alerts identifying patients who are due for preventive cancer screenings.
 - d. Provide technical assistance to identify patient populations.
 - e. Assist HCSs in developing clinical policies for preventive cancer screenings and recommended follow-up for high-risk patients.

- f. Provide training to adopt and implement clinical workflows for referral management and transitions of care.

- E. The applicant will report the required measures to ADH by the 15th of the month following the end of each quarter (July, October, January, April).

Program Deliverables

The applicant will liaise with ADH and HCSs (as defined by the CDC) in order to:

- Increase the use and monitoring of aggregated and standardized quality measures for cancer screening.
- Increase the rate of preventive cancer screenings through the implementation of practice workflows utilizing CDS rules and alerts within the EHR systems and providing evidence-based interventions to mitigate barriers for preventive services.
- Provide professional development and continuing education to clinic providers for screening rates and practices as needed.

Performance Indicators

- The applicant conducts baseline assessment, screening rates, and develops implementation plans for participating HCSs.
- The applicant conducts scheduled visits at least once monthly (virtually or onsite) to the participating HCSs.
- The applicant assists HCSs in collecting required quality measure data from CEHRT and practice.
- The applicant communicates data and progress to the Chronic Disease Prevention and Control Branch (CDPCB) through meetings and reports.
 - a) Meetings - a minimum frequency of semiannually (virtually or onsite)
 - b) Reports - data will be submitted to the CDPCB by the 15th of the month following the end of each quarter (July, October, January, April).
- The applicant assists the HCSs in Plan-Do-Study-Act to improve clinical quality.
- The applicant provides professional development and continuing education opportunities for participating HCSs as needed.

2.3 PERFORMANCE STANDARDS

- A. State law requires that all contracts for services include Performance Standards for measuring the overall quality of services provided. The table below, *Performance Standards* identifies expected deliverables, performance measures, or outcomes, and defines the acceptable standards a vendor **must** meet in order to avoid assessment of damages.
- B. The State may be open to negotiations of Performance Standards prior to contract award, prior to the commencement of services, or at times throughout the contract duration.
- C. The State **shall** have the right to modify, add, or delete Performance Standards throughout the term of the contract, should the State determine it is in its best interest to do so. Any changes or additions to performance standards will be made in good faith following acceptable industry standards and may include the input of the vendor so as to establish standards that are reasonably achievable.
- D. All changes made to the Performance Standards **shall** become an official part of the contract.
- E. Performance Standards **shall** continue throughout the term of the contract.
- F. Failure to meet the minimum Performance Standards as specified **shall** result in the assessment of damages.
- G. In the event a Performance Standard is not met, the vendor will have the opportunity to defend or respond to the insufficiency. The State **shall** have the right to waive damages if it determines there were extenuating factors beyond the control of the vendor that hindered the performance of services. In these instances, the State **shall** have final determination of the performance acceptability.
- H. Should any compensation be owed to the agency due to the assessment of damages, vendor **shall** follow the direction of the agency regarding the required compensation process.

Performance Standards		
Service Criteria	Acceptable Performance	Damages for Insufficient Performance
Monitoring	Applicant will meet with retained HCSs monthly (virtually or onsite). Contractor will conduct at least one onsite visit annually after the completion of the comprehensive assessment.	Failure to provide services may result in reduction, delay and or/non consideration of future sub-grant funding.
Monitoring	Applicant will meet semiannually with ADH team to monitor progress on grant activities of HCSs.	Failure to provide services may result in reduction, delay and or/non consideration of future sub-grant funding.
Quality Improvement	Applicant will assist the HCSs in Plan-Do-Study-Act to improve targeted clinical quality as detailed in the Statement of Work.	Failure to provide services may result in reduction, delay and or/non consideration of future sub-grant funding.
Quality Assessment	Applicant will assist HCSs in gathering recommended clinical quality measures and assist participating clinics in implementing proper workflows for preventive cancer screenings using their CEHRT and practice capabilities.	Failure to provide services may result in reduction, delay and or/non consideration of future sub-grant funding.
Reporting	Applicant will use the CDC/ADH reporting tools to report measures to ADH.	Failure to provide services may result in reduction, delay and or/non consideration of future sub-grant funding.

SECTION 3 – CRITERIA FOR SELECTION

- **Do not provide responses to items in this section.**

3.1 APPLICATION REVIEW PROCESS & PROCEDURE

- A. ADH will collect applications via email and accept the first application that meet requirements. The order of receipt of applications will be identified by the State's email system.
- B. ADH will review each Application Packet to verify requirements. Applications that do not meet requirements will be disqualified.
- C. In the event that an application is disqualified, ADH will review the next consecutive application.

3.2 ACCEPTANCE OF REVIEW TECHNIQUE

- A. Applicant **must** agree to the review process and procedure as defined in this solicitation.
- B. The submission of an Application Packet signifies the applicant understand and agrees that subjective judgments may be made during the review.

SECTION 4 – GENERAL CONTRACTUAL REQUIREMENTS

- ***Do not provide responses to items in this section.***

4.1 PAYMENT AND INVOICE PROVISIONS

A. All invoices **shall** be forwarded to:

Invoices should be sent to:
Michael Koch, Budget Analyst
Arkansas Department of Health
4815 West Markham St., Slot 11
Little Rock, AR 72205

Or via email to Michael.koch@arkansas.gov

- Final invoices **must** be submitted to (ADH) within thirty (30) calendar days of contract expiration.
- B. Pursuant to Arkansas Code Annotated 19-4-206, the agency **shall** certify that services have been performed or the goods received prior to payment being authorized and processed.
- C. Additional documentation may be required when submitting invoices for payment.

4.2 USE OF FUNDS

- A. Funds must be used to meet requirements of the sub-grant.
- B. Funds may not be used for items not identified on the budget with a budget adjustment request and/or prior approvals.

4.3 CONDITIONS OF CONTRACT

- A. Recipient(s) **shall** at all times observe and comply with federal and State of Arkansas laws, local laws, ordinances, orders, and regulations existing at the time of, or enacted subsequent to the execution of a resulting contract which in any manner affect the completion of the work.
- B. Recipient(s) **shall** indemnify and save harmless the agency and all its officers, representatives, agents, and employees against any claim or liability arising from or based upon the violation of any such law, ordinance, regulation, order or decree by an employee, representative, or subcontractor of the vendor.

4.4 STATEMENT OF LIABILITY

- A. The State will demonstrate reasonable care but will not be liable in the event of loss, destruction or theft of recipient-owned equipment or software and technical and business or operations literature to be delivered or to be used in the installation of deliverables and services. The recipient **shall** retain total liability for equipment, software and technical and business or operations literature. The State **shall** not at any time be responsible for or accept liability for any recipient-owned items.
- B. The recipient's liability for damages to the State **shall** be limited to the value of the sub-grant. The foregoing limitation of liability **shall not** apply to claims for infringement of United States patent, copyright, trademarks or trade secrets; to claims for personal injury or damage to property caused by the gross negligence or willful misconduct of the vendor; to claims covered by other specific provisions of the contract calling for damages; or to court costs or attorney's fees awarded by a court in addition to damages after litigation based on the contract. The recipient and the State **shall not** be liable to each other, regardless of the form of action, for consequential, incidental, indirect, or special damages. This limitation of liability **shall not** apply to claims for infringement of United States patent, copyright, trademark or trade secrets; to claims for personal injury or damage to property caused by the gross negligence or willful misconduct of the vendor; to claims covered by other specific provisions of the contract calling for damages; or to court costs or attorney's fees awarded by a court in addition to damages after litigation based on the contract.
- C. Language in these terms and conditions **shall not** be construed or deemed as the State's waiver of its right of sovereign immunity. The vendor agrees that any claims against the State, whether sounding in tort or in contract, **shall** be brought before the Arkansas Claims Commission as provided by Arkansas law, and **shall** be governed accordingly.

4.5 RECORD RETENTION

- A. The applicant **shall** maintain all pertinent financial and accounting records and evidence pertaining to the contract in accordance with generally accepted principles of accounting and as specified by the State of Arkansas Law. Upon request, access **shall** be granted to State or Federal Government entities or any of their duly authorized representatives.
- B. Records **shall** be made available, upon request, to the State of Arkansas's designee(s) at any time during the contract period and any extension thereof, for a period of five (5) years from the date this sub-grant expires, or if an audit is pending at the end of the five-year period, until resolution of the audit. Department access to all books, records, and other documents will be according to the procedures outlined in Section VIII, A, of this sub-grant. HIPAA-related records will be retained for a minimum of six (6) years from the date of sub-grant expiration.

4.6 ACCESS TO RECORDS

The recipient will grant access to its records upon request by duly authorized representatives of state or federal government entities. Access will be given to any books, documents, papers, or records of the recipient related to any services performed under the sub-grant.

4.7 CONFIDENTIALITY

- A. The applicant, applicant's subsidiaries, and applicant's employees **shall** be bound to all laws and to all requirements set forth in this bid solicitation concerning the confidentiality and secure handling of information of which they may become aware during the course of providing services under a resulting contract.
- B. Consistent and/or uncorrected breaches of confidentiality may constitute grounds for cancellation of a resulting contract, and the State **shall** have the right to cancel the contract on these grounds.
- C. Previous sections of this bid solicitation may contain additional confidentiality Requirements.

4.8 CONTRACT INTERPRETATION

Should the State and vendor interpret specifications differently, either party may request clarification. However, if an agreement cannot be reached, the determination of the State **shall** be final and controlling.

4.9 LEGISLATIVE REVIEW

- A. Act 1032 of 1999 specifies that no state agency shall award any discretionary sub-grant that exceeds \$10,000.00 prior to review by the Arkansas Legislative Council or the Joint Budget Committee.
- B. If the state agency determines that an emergency exists the state agency may award the sub-grant prior to review, and shall immediately notify the Legislative Council or Joint Budget Committee as to the facts constituting the emergency.
- C. All non-discretionary sub-grants are exempt from review.
- D. Certain discretionary sub-grants are exempt from review. These include:
 - sub-grants to another governmental entity such as a state agency, public educational institution, federal governmental entity or body of a local government
 - disaster relief sub-grants
 - sub-grants identified by the Arkansas Legislative Council to be exempt
 - sub-grants deemed to contain confidential information that would be in violation of disclosure laws
 - sub-grants for scholarship or financial assistance award to or for a post-secondary student

4.10 CANCELLATION

- A. For Cause. The State may cancel any contract resulting from this solicitation for cause when the recipient fails to perform its obligations under it by giving the recipient written notice of such cancellation at least thirty (30) days prior to the date of proposed cancellation. In any written notice of cancellation for cause, the State will advise the recipient in writing of the reasons why the State is considering cancelling the contract and provide the recipient with an opportunity to avoid cancellation for cause by curing any deficiencies identified in the notice of cancellation for cause prior to the date of proposed cancellation. To the extent permitted by law and at the discretion of the parties, the parties may agree to minor amendments to the contract and avoid the cancellation for cause upon mutual agreement.

- B. For Convenience. The State may cancel any contract resulting from the solicitation by giving the Recipient written notice of such cancellation sixty (60) days prior to the date of cancellation.
- C. If upon cancellation the recipient has provided commodities or services which the State of Arkansas has accepted, and there are no funds legally available to pay for the commodities or services, the recipient may file a claim with the Arkansas Claims Commission under the laws and regulations governing the filing of such claims.

4.11 **SEVERABILITY**

If any provision of the contract, including items incorporated by reference, is declared or found to be illegal, unenforceable, or void, then both the agency and the vendor **shall** be relieved of all obligations arising under such provision. If the remainder of the contract is capable of performance, it **shall not** be affected by such declaration or finding and **shall** be fully performed.

SECTION 5 – STANDARD TERMS AND CONDITIONS

- **Do not provide responses to items in this section.**
1. **GENERAL:** Any special terms and conditions included in this solicitation **shall** override these Standard Terms and Conditions. The Standard Terms and Conditions and any special terms and conditions **shall** become part of any contract entered into if any or all parts of the bid are accepted by the State of Arkansas.
 2. **ACCEPTANCE AND REJECTION:** The State **shall** have the right to accept or reject all or any part of an application or any and all applications, to waive minor technicalities, and to award the sub-grant to best serve the interest of the State.
 3. **APPLICATION SUBMISSION:** Application Packets **must** be submitted to the Arkansas Department of Health on or before the date and time specified. The Application Packet **must** contain all documents, information, and attachments as specifically and expressly required in the *Solicitation*. The application **must** be typed or printed in ink. The signature **must** be in ink. Unsigned applications **shall** be disqualified. The person signing the application should show title or authority to bind his firm in a contract. Late applications **shall not** be considered under any circumstances.
 4. **FORCE MAJEURE:** Neither party will be held responsible for the delay or failure to perform any part of this sub-grant when such delay or failure to perform any part of this sub-grant when such delay or failure results from fire, flood, epidemic, war or insurrection, unusually severe weather, or the legal acts of public authorities.
 5. **STATE AND FEDERAL LAWS:** Performance of this sub-grant by the recipient and the Department must comply with state and federal laws, rules, and regulations. If any statute or regulation is enacted which requires changes in this sub-grant, the recipient will receive notification of the required changes. This sub-grant shall then be amended.
 6. **COMPLIANCE WITH NONDISCRIMINATION LAWS:** The recipient will comply with all applicable provisions of the following federal regulations related to nondiscrimination, both in service delivery to clients and in employment, including, but not limited to, the following:
 - Title 45 Code of Federal Regulations
 - Part 80 (Nondiscrimination on the Basis of Race or Sex)
 - Part 84 (Nondiscrimination on the Basis of Handicap)
 - Part 90 (Nondiscrimination on the Basis of Age)
 - Americans with Disabilities Act of 1990, U.S.C. Section 12101 et. Seq.
 - Title 28 Code of Federal Regulations
 - Part 35 (Nondiscrimination on the Basis of Disability in State and Local Government Services)
 - Title 41 Code of Federal Regulations
 - Part 60-74 (OFCCP: Affirmative Action Regulations on Handicapped Workers)

ADH will furnish a copy of these regulations to the recipient upon request.
 7. **CONFIDENTIALITY OF CLIENT RECORDS:** The recipient will maintain the confidentiality of all client records. This restriction does not apply to disclosures made with the informed, written consent of the client, or if the client is not a competent adult or is a minor, with such consent of the client's parent, guardian, or legal representative.
 8. **LIMITATION OF THE DEPARTMENT'S OBLIGATION TO PAY:** The Department is not obligated to make payment under this sub-grant if the Department does not receive sufficient monies from the funding source(s) designated in this sub-grant to fund said obligations and other obligations of the Department, or is not given legal authority from the Arkansas Legislature to expend these funds. The Department is not obligated to make payment if sufficient state or local matching money is not available at the time the bill is presented for payment.
 9. **PAYMENT FROM DEPARTMENT CONSIDERED PAYMENT IN FULL:** Payment received from the Department under this sub-grant shall be payment in full for all services and/or costs covered by the payment. No fee or other charge shall be made against a

client or a third party for these services and/or costs. This paragraph does not preclude allocation of costs among two or more funding sources, or payment of portions of a service and/or cost under different funding sources, so long as there is no duplication of payment.

- 10. AUDIT REQUIREMENT:** For awards in excess of \$300,000.00 a current audit report is due. Recipient shall comply with the ADH audit requirements as outlined in Arkansas Department of Health "Audit Guidelines."

Arkansas Department of Health
Internal Audit Section
4815 West Markham Street, Slot 54
Little Rock, AR 72205-3867

- 11. DEPARTMENTAL RECOVERY OF FUNDS:** The Department shall seek to recover funds not utilized in accordance with the terms and conditions of this sub-grant.
- 12. AMENDMENTS:** Any amendment to this sub-grant shall be valid only when in writing and when duly signed by the authorized representative(s) of the Recipient and the Arkansas Department of Health. Recipient and Department acknowledge that no verbal or written representations, other than those contained herein, have been made as an inducement to enter into this agreement and that this writing constitutes the entire agreement.
- 13. AWARD:** Term Contract: A contract award will be issued to the successful recipient. It results in a binding obligation without further action by either party. This award does not authorize shipment. Shipment is authorized by the receipt of a purchase order from the ordering agency. Firm Contract: A written State purchase order authorizing shipment will be furnished to the successful vendor.
- 14. STATE PROPERTY:** Any specifications, drawings, technical information, dies, cuts, negatives, positives, data or any other commodity furnished to the contractor hereunder or in contemplation hereof or developed by the contractor for use hereunder **shall** remain property of the State, **shall** be kept confidential, **shall** be used only as expressly authorized, and **shall** be returned at the contractor's expense to the F.O.B. point provided by the agency. Vendor **shall** properly identify items being returned.
- 15. PATENTS OR COPYRIGHTS:** The contractor **must** agree to indemnify and hold the State harmless from all claims, damages and costs including attorneys' fees, arising from infringement of patents or copyrights.
- 16. ASSIGNMENT:** Any contract entered into pursuant to this solicitation **shall not** be assignable nor the duties thereunder delegable by either party without the written consent of the other party of the contract.
- 17. CLAIMS:** Only those claims for costs and services specifically authorized under this sub-grant will be allowed by the Department. Any work performed, material furnished, or costs incurred not covered by this sub-grant shall be solely the responsibility of the Recipient.
- 18. CANCELLATION:** In the event the State no longer needs the commodities or services specified for any reason (e.g., program changes; changes in laws, rules or regulations; relocation of offices; lack of appropriated funding, etc.), the State **shall** have the right to cancel the contract or purchase order by giving the vendor written notice of such cancellation thirty (30) days prior to the date of cancellation.

NOTHING IN THIS CONTRACT SHALL BE DEEMED A WAIVER OF THE STATE'S RIGHT TO SOVEREIGN IMMUNITY.

- 19. DISCRIMINATION:** In order to comply with the provision of Act 954 of 1977, relating to unfair employment practices, the vendor agrees that: (a) the vendor **shall not** discriminate against any employee or applicant for employment because of race, sex, color, age, religion, handicap, or national origin; (b) in all solicitations or advertisements for employees, the vendor **shall** state that all qualified applicants **shall** receive consideration without regard to race, color, sex, age, religion, handicap, or national origin; (c) the vendor will furnish such relevant information and reports as requested by the Human Resources Commission for the purpose of determining compliance with the statute; (d) failure of the vendor to comply with the statute, the rules and regulations promulgated thereunder and this nondiscrimination clause **shall** be deemed a breach of contract and it may be cancelled, terminated or suspended in whole or in part; (e) the vendor **shall** include the provisions of above items (a) through (d) in every subcontract so that such provisions **shall** be binding upon such subcontractor or vendor.
- 20. ETHICAL STANDARDS:** Pursuant to Arkansas Code Annotated §19-11-708(a-c), it **shall** be breach of ethical standards for a person to be retained, or to retain a person, to solicit or secure a state contract upon an agreement or understanding for a commission, percentage, brokerage, or contingent fee, except for retention of bona fide employees or bona fide established commercial selling agencies maintained by the contractor for the purpose of securing business.
- 21. ANTITRUST ASSIGNMENT:** As part of the consideration for entering into any contract pursuant to this solicitation, the vendor named on the *Application Signature Page* for this solicitation, acting herein by the authorized individual or its duly authorized agent, hereby assigns, sells and transfers to the State of Arkansas all rights, title and interest in and to all causes of action it may have under the antitrust laws of the United States or this State for price fixing, which causes of action have accrued prior to the date of this assignment and which relate solely to the particular goods or services purchased or produced by this State pursuant to this contract.
- 22. DISCLOSURE:** Failure to make any disclosure required by Governor's Executive Order 98-04, or any violation of any rule, regulation, or policy adopted pursuant to that order, **shall** be a material breach of the terms of this contract. Any contractor,

whether an individual or entity, who fails to make the required disclosure or who violates any rule, regulation, or policy **shall** be subject to all legal remedies available to the agency.